



# Data Management Education session

Tuesday 5<sup>th</sup> April 2016

## The comorbidity index

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# **The Hematopoietic Cell Transplantation-specific Comorbidity Index (HCT-CI)**

- **What is it ?**
- **Why and how was it introduced? Why is it needed ?**
- **What is its clinical utility ?**
- **Where is it inserted into Promise ?**
- **How can you calculate the HCT-CI score ?**

# The Hematopoietic Cell Transplantation-specific Comorbidity Index (HCT-CI)

- **What is it ?**
- How and why was it introduced? Why is it needed ?
- What is its clinical utility ?
- Where is it inserted into Promise ?
- How can you calculate the HCT-CI ?

**The HCT-Comorbidity Index is a number, a score, that represents the burden of the incidental comorbidities found in the patient before the transplant.**

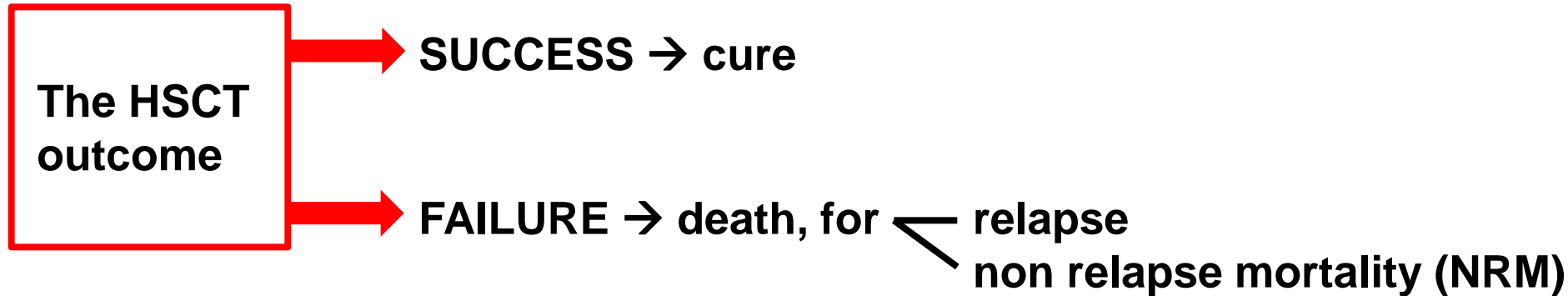
**The specific comorbidities considered are the following:**

<b>Arrhythmia</b>
<b>Cardiac</b>
<b>Heart valve disease</b>
<b>Inflammatory bowel disease</b>
<b>Diabetes</b>
<b>Cerebrovascular disease</b>
<b>Psychiatric disturbance</b>
<b>Obesity</b>
<b>Infection</b>

<b>Rheumatologic</b>	
<b>Peptic ulcer</b>	
<b>Renal</b>	
<b>Pulmonary</b>	<b>moderate</b>
	<b>severe</b>
<b>Hepatic</b>	<b>mild</b>
	<b>moderate/severe</b>
<b>Prior solid tumor</b>	

# The Hematopoietic Cell Transplantation-specific Comorbidity Index (HCT-CI)

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**It is obvious that we need to make any effort to reduce the NRM that undermines the potentially curative power of the transplant.**

**Furthermore we need to estimate as accurately as possible the benefits and the risks of the transplant to calculate in that particular patient the benefit/risk ratio of the procedure.**

**From this point of view we need a good tool to estimate the NRM risk.**

**The outcome of the transplant depends on three sets of factors:**

**Disease factors**

diagnosis  
disease stage  
cytogenetic  
molecular markers  
MRD  
etc...

**Procedure factors**

donor  
source of HSCs  
conditioning  
HLA compatibility  
GVHD prophylaxis  
etc...

**Patient factors**

age  
performance status  
organ functions  
comorbidity  
etc...

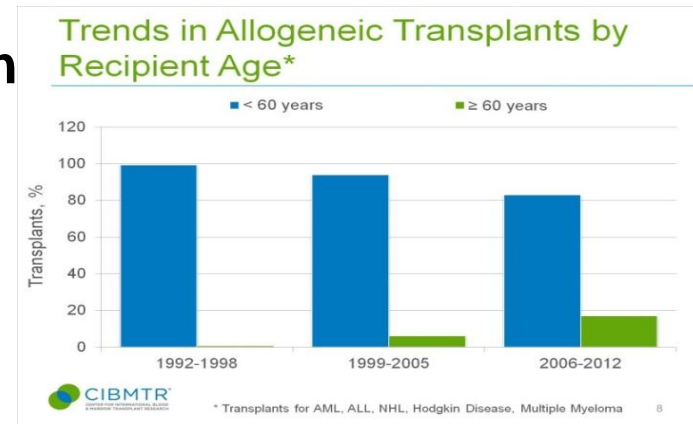
**Many studies have evaluated the impact of the disease status or single aspects of the procedure, but little was known about the influence of the patient's characteristics per sé.**

**Age has been the patient-specific parameter historically used to evaluate the tolerance of the patient, but the "old" limits of 55-60 years are arbitrary and currently in part outdated, especially after the introduction of the reduced-intensity conditioning regimens.**



**Giorgione, *The three ages of man*, 1507**

**In the last years the age at transplant has been increasing; 17% of allogeneic transplant recipients in 2006-2012 were older than 60. In this age group, one would expect a greater presence of comorbidities.**



**Furthermore, the chronological age not always corresponds to the biological age.**





## Patient factors

- Age
  - Performance status
  - Single organ functions
- None of them alone is predictive for NRM or overall survival (OS)
- How to proceed in case of more than one disfunction?



**Use a scoring system**

## **Hematopoietic cell transplantation (HCT)–specific comorbidity index: a new tool for risk assessment before allogeneic HCT**

Mohamed Sorrow, Michael Maris, Rainer Storb, Frederic Baron, Brenda Sandmaier, David Maloney, Barry Storer

**Sorrow and Coll. analyzed retrospectively all comorbidities encountered in 1055 patients transplanted in Seattle from 1997 to 2003.**

**Patients were randomly divided into 2 cohorts, a training set (n. 708) to develop the scoring weights and a validation set (n. 347).**

**For each comorbid condition the hazard ratios (HRs) for NRM at 2 years were calculated.**

**The adjusted HRs were converted to integer weights according to the following:**

<b>comorbidities with <math>HR \leq 1.2</math></b>	<b>were dropped from consideration</b>
<b>comorbidities with HR of 1.3 to 2.0</b>	<b>were assigned a weight of 1</b>
<b>comorbidities with HR of 2.1 to 3.0</b>	<b>were assigned a weight of 2</b>
<b>comorbidities with <math>HR \geq 3.1</math></b>	<b>were assigned a weight of 3</b>

## Hematopoietic cell transplantation (HCT)–specific comorbidity index: a new tool for risk assessment before allogeneic HCT

Mohamed Sorrow, Michael Maris, Rainer Storb, Frederic Baron, Brenda Sandmaier, David Maloney, Barry Storer

**At the end, 17 comorbidities (15 + 2 splitted in relation to the severity: hepatic and pulmonary) have been recognized as relevant.**

**The final HCT-CI score was the sum of these integer weights.**



Comorbidity	HCT-CI weighted scores
Arrhythmia	1
Cardiac	1
Inflammatory bowel disease	1
Diabetes	1
Cerebrovascular disease	1
Psychiatric disturbance	1
Hepatic, mild	1
Obesity	1
Infection	1
Rheumatologic	2
Peptic ulcer	2
Moderate/severe renal	2
Moderate pulmonary	2
Prior solid tumor	3
Heart valve disease	3
Severe pulmonary	3
Moderate/severe hepatic	3

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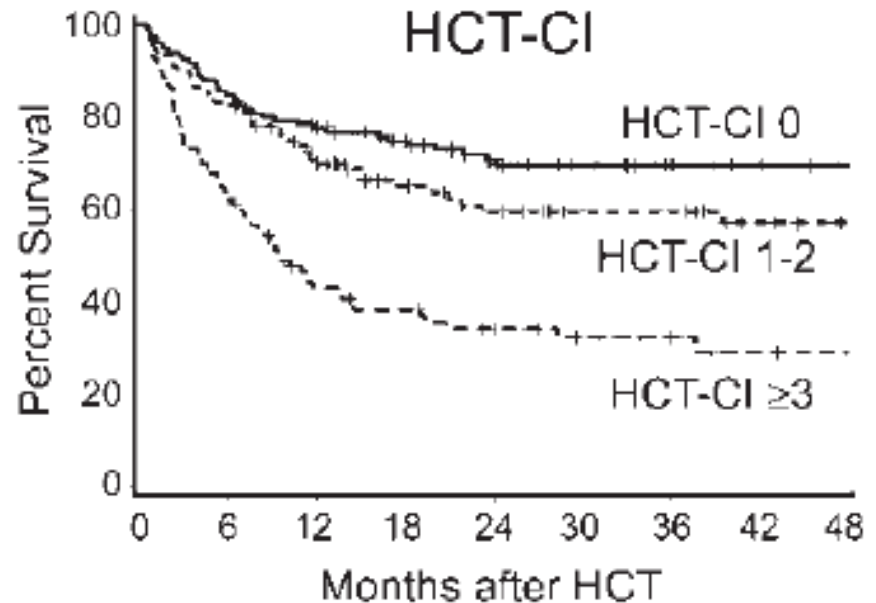
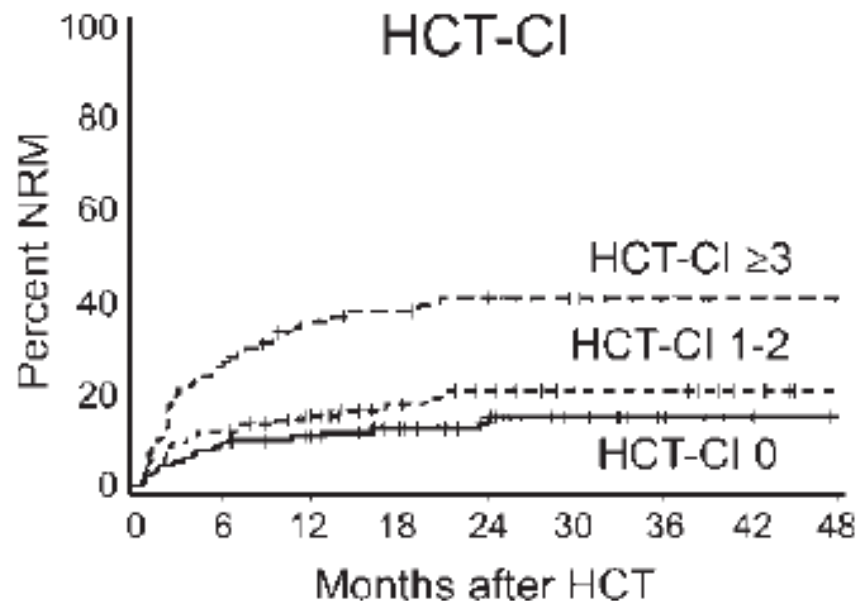
## Hematopoietic cell transplantation (HCT)–specific comorbidity index: a new tool for risk assessment before allogeneic HCT

Mohamed Sorrow, Michael Maris, Rainer Storb, Frederic Baron, Brenda Sandmaier, David Maloney, Barry Storer

**The HCT-CI scores were collapsed into 3 risk groups:**

- score 0 (low risk)
- score 1 to 2 (intermediate risk)
- score  $\geq 3$  (high risk)

**According to this, Sorrow and Coll. demonstrated that the HCT-CI can stratify the patients for the risk of NRM and also for OS.**



**After its publication the HCT-CI has been tested in many studies and the majority of them have confirmed its usefulness, but often they were retrospective, from a single center, with a small number of patients and with transplants performed in the past.**

**Two studies have tested, and validated, the HCT-CI in a prospective manner, with a large multicenter population transplanted in recent years.**

## **Validation of the Hematopoietic Cell Transplantation-Specific Comorbidity Index: a prospective, multicenter GITMO study**

Roberto Raimondi, Alberto Tosetto, Rosi Oneto, Riccardo Cavazzina, Francesco Rodeghiero, Andrea Bacigalupo, Renato Fanin, Alessandro Rambaldi, and Alberto Bosi

**Prospective multicenter study. Years 2008-2011. Patients: 1937**

**Confirmed the predictive power of the HCT-CI**

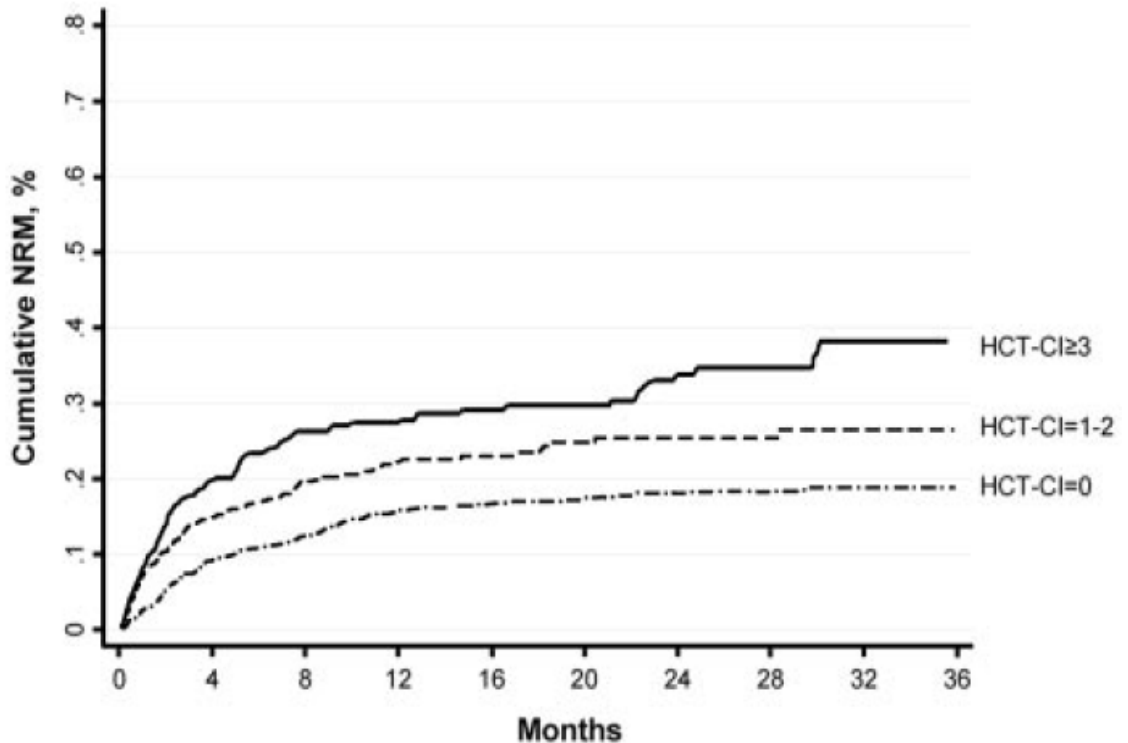


Figure 1. NRM cumulative incidence by HCT-CI score group.

## Prospective Validation of the Predictive Power of the Hematopoietic Cell Transplantation Comorbidity Index: A CIBMTR® Study

Mohamed Sorrow, Brent Logan, Xiaochun Zhu, J. Douglas Rizzo, Kenneth Cooke, Philip McCarthy, Vincent Ho, Mary Horowitz, Marcelo Pasquini,

**Prospective multicenter study. Years 2007-2009. Patients: 8115 Allo (and 11.652 Auto)**

Allo				
Score HCT-CI	NRM %		OS %	
	1 year	3 years	1 year	3 years
0	17	24	69	54
1-2	21	28	62	47
≥ 3	26	35	56	38

**The higher the score, the higher the NRM and the lower the OS**

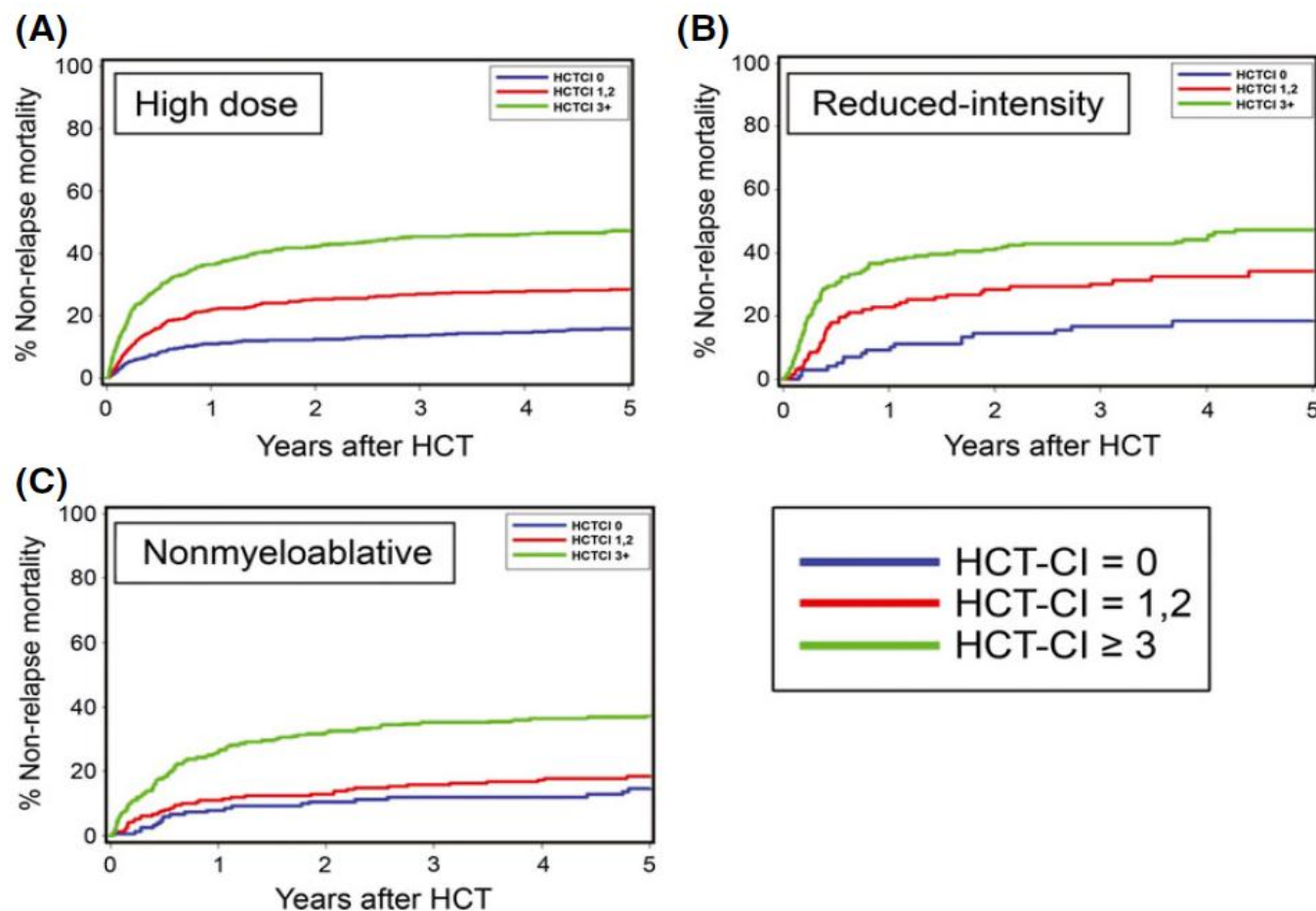


# Multi-centre validation of the prognostic value of the haematopoietic cell transplantation - specific comorbidity index among recipient of allogeneic haematopoietic cell transplantation

Mahmoud ElSawy, Barry Storer, Michael Pulsipher, Richard Maziarz, Smita Bhatia, Michael Maris, Karen Syrjala, Paul Martin, David Maloney, Brenda Sandmaier, Rainer Storb and Mohamed Sorrow

## Analysis of HCT-CI within conditioning intensity groups

The stratification in risk groups is maintained also within the conditioning intensity groups, with a difference only in the NMA setting.



# Multi-centre validation of the prognostic value of the haematopoietic cell transplantation - specific comorbidity index among recipient of allogeneic haematopoietic cell transplantation

Mahmoud ElSawy, Barry Storer, Michael Pulsipher, Richard Maziarz, Smita Bhatia, Michael Maris, Karen Syrjala, Paul Martin, David Maloney, Brenda Sandmaier, Rainer Storb and Mohamed Sorrow

## Analysis of HCT-CI within age groups

	Cumulative percent incidence of NRM (2-year)				Percent overall survival (2-year)			
	HCT-CI scores				HCT-CI scores			
Age groups, years	0	1-2	≥3	<i>P</i>	0	1-2	≥3	<i>P</i>
0-19, malignant diseases	8	26	28	<0.001	73	61	41	<0.001
0-19, non-malignant diseases	NE	NE	NE		84	57	40	<0.001
20-39	11	20	39	<0.001	80	62	33	<0.001
40-49	12	26	43	<0.001	75	56	39	<0.001
50-59	21	31	39	<0.001	60	48	33	<0.001
≥60	7	27	38	<0.001	63	47	27	<0.001

**The comorbidity index is also valid across the different ages**

## **Comorbidity-Age Index: A Clinical Measure of Biologic Age Before Allogeneic Hematopoietic Cell Transplantation**

Mohamed L. Sorrow, Rainer F. Storb, Brenda M. Sandmaier, Richard T. Maziarz, Michael A. Pulsipher, Michael B. Maris, Smita Bhatia, Fabiana Ostronoff, H. Joachim Deeg, Karen L. Syrjala, Elihu Estey, David G. Maloney, Frederick R. Appelbaum, Paul J. Martin, and Barry E. Storer

**Retrospective multicenter study. Patients: 3033**

**The aim of this study was to incorporate the parameter "age" with the original comorbidities to obtain a more accurate index.**

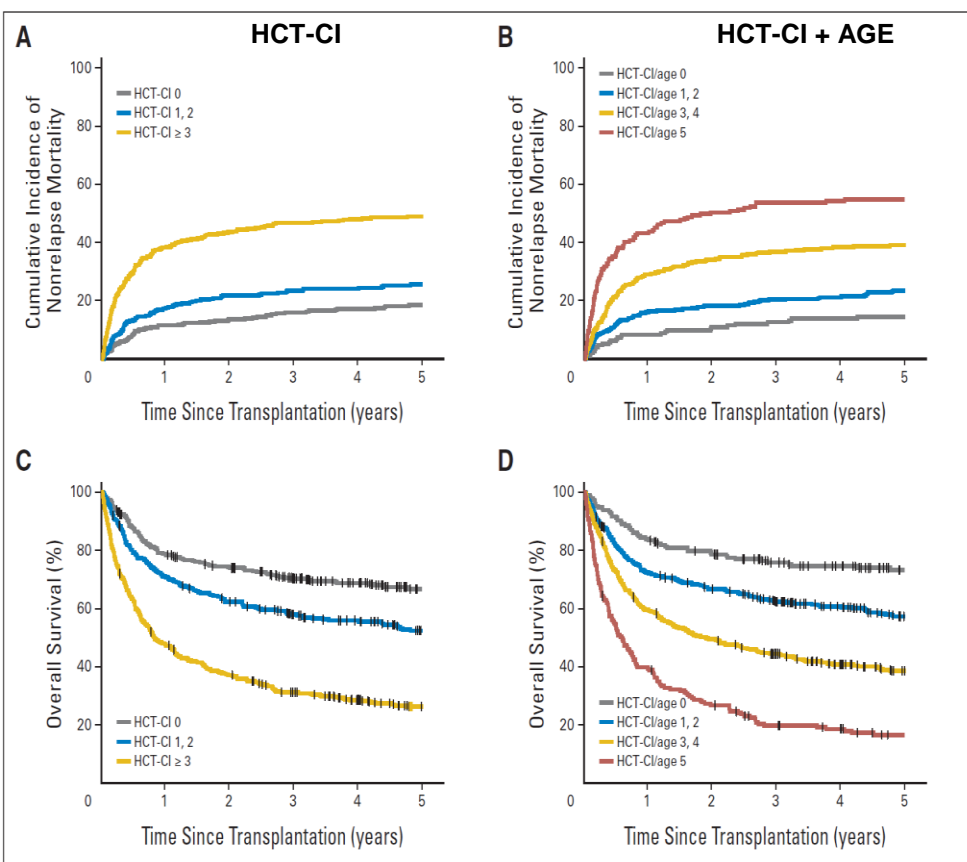
**With the same approach used for the development of the HCT-CI, adjusted HRs for NRM were calculated for age groups.**

**Patients in the age  $\geq 40$  had HRs for NRM ranging between 1.48 and 1.84 compared with patients younger than age 20.**

**Age  $\geq 40$  was assigned a score of 1 to be added to the HCT-CI scores, to create the "composite" comorbidity/age index (HCT-CI/age).**

## The Composite Comorbidity/Age index:

- has a good predictive capacity for NRM (and OS)
- allows integration of age among the other patient-specific conditions, without leaving it as an isolated parameter



Validation Set			
		HR	P
Age alone	0-39	1	
	≥ 40	1.32	0.03
HCT-CI	0	1	
	1-2	1.55	0.006
	≥ 3	3.66	< 0.0001
Composite index (HCT-CI/Age)	0	1	
	1-2	1.83	0.006
	3-4	3.64	< 0.0001
	≥ 5	6.71	< 0.0001

### Predictive capacity (c-statistic) for NRM

- Age alone = 0.54
- HCT-CI alone = 0.64
- Composite index = 0.67

Patients with a Composite index score  $\geq 3$  have a NRM risk 3 or even more than 6 times greater than that of patients with score 0

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- **Where is it inserted into Promise ?**
- How can you calculate the HCT-CI ?

**The HCT-CI has been integrated in Promise as an appendix of the MED-A**

**This is the path: Promise → MED-A → MED-A Day 0 → Appendix**

**The next slides demonstrate this**

MEDAB[NEW][EBMT][S][bmt0797v][CIC:797(0)] [Med-AB: All diseases] - Microsoft Internet Explorer fornito da ULSS 6 Vicenza  
https://www2.clinicalresearch.nl/PROMISE/S/HEIT/S\_O\_EBMT\_C\_NEW\_MEDAB/\_U\_LINK\_Q\_FRAMES.HEI

Il sito Web sta tentando di eseguire il componente aggiuntivo: 'Microsoft® Script Runtime' da 'Microsoft Corporation'. Se si considerano attendibili sia il sito che il componente, fare clic qui per consentire l'esecuzione del controllo...

Data Entry Report Export Help Filter [797][PRODUCTION][Vicenza] | 12:46

Resume with the **first** item in the **current** section by pressing **Tab** (or click on any other item)

Index Editor Overview

Patient	value	label
CIC	330	330
Patient	5255	5255
Outcome		
Comments		
Med-AB appendix to be entered		
Comments 1		
Comments 2		
Comments 3		
Overview (formulae, do not edit)		
Death		
Main cause of death		
Cause of death: GVHD		
Cause of death: interstitial pneumonitis		
Cause of death: pulmonary toxicity		
Cause of death: Infection		
Cause of death: bacterial infection		
Cause of death: viral infection		
Cause of death: fungal infection		
Cause of death: parasitic infection		
Cause of death: rejection / poor graft function		
Cause of death: VOD		
Cause of death: haemorrhage		
Cause of death: cardiac toxicity		
Cause of death: CNS toxicity		
Cause of death: GI toxicity		
Cause of death: skin toxicity		
Cause of death: renal failure		
Cause of death: multiple organ failure		
Other transplant / cell therapy related cause of death		
Transplant independent cause of death		
Death related to haemoglobinopathy		

**Comments 1**

**IMPORTANT: Comment fields**  
Do not use these fields to repeat information already entered in a coded field.  
Only enter information which is relevant and which you have not been able to enter in any other way.

**Actions**

Form about to be...	Med-A: Day 100
Are you adding l...	null
UPN	27334
Date of birth of...	1956/12/12
adding M...	null

**Record Locator**

**Patient [330] 5255**

- Diagn 2010/12/15 [Main indication diagnosis]
- Treat 2012/07/12 [HSCT]
  - Drug ARA-C / Cytarabine
  - Drug Etoposide / VP16
  - Drug Melphalan
  - Drug Fotemustine
  - Drug CD20(rituximab,mabthera)
- Asse1 2012/07/12 [HSCT]
- Asse1 2012/07/27 [Alive]
- Treat 2015/10/15 [HSCT]
  - Drug Cyclophosphamide / Endoxan
  - Drug Ciclosporin / Cyclosporin / Neoral
  - Drug Methotrexate

**Chapters & Sections**

- ID and admin
- Patient data
  - Form information
  - Patient information
  - New record creation
- Ethnicity
- Outcome
- Management
- EBMT to centre
- Data entry support

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MEDAB[NEW][EBMT][S][bmt0797v][CIC:797(0)] [Med-AB: All diseases] - Microsoft Internet Explorer fornito da ULSS 6 Vicenza  
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Data Entry Report Export Help Filter [797][PRODUCTION][Vicenza] | 12:48

Resume with the **first** item in the **current** section by pressing **Tab** (or click on any other item)

Index Editor Overview DynFile:23:Lymphoma

Assessment(1)	value	label
CIC	330	330
Patient	5255	5255
Assessment date	2015/10/15 00:00	2015/10/15 (exact)
<b>Diagnostics (cont.)</b>		
Bone marrow investigations		
T-cell function		
Immunoglobulins		
Clinical data		
<b>Associated disorders</b>		
Comorbid conditions present		
Solid tumour, previously present		
Inflammatory bowel disease, previously present		
Rheumatologic comorbidity		
Infections present before the treatment		
Diabetes (requiring treatment other than diet alone)		
PNH		

**Comorbid conditions present**

1	No
2	Yes
99	unknown

**Actions**

Form about to be...	Med-A: Day 100
Are you adding i...	null
UPN	27334
Date of birth of...	1956/12/12
Are you adding M...	null

**Record Locator**

Patient [330] 5255

- Diagn 2010/12/15 [Main indication diagnosis]
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  - Drug Melphalan
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  - Drug CD20(rituximab,mabthera)
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**Chapters & Sections**

- Investigations identificat & admin
- Assessment record qualifier (manual)
- Diagnostics
- Diagnostics (cont.)
- Physical examination
- History of disease and treatment
- Patient viral & fungal history
- Performance
- Haematopoietic recovery & chimaerism
- Complications & additional treatment
- Last disease status
- Last status
  - Patient status
- Patient HLA: DNA results
- Patient HLA: serology results
- Prognostic scores



# The Hematopoietic Cell Transplantation-specific Comorbidity Index (HCT-CI)

- What is it ?
- How and why was it introduced? Why is it needed ?
- What is its clinical utility ?
- Where is it inserted into Promise ?
- **How can you calculate the HCT-CI score ?**



**To calculate the final HCT-CI score is very easy, it is a simple sum and does not require a complex formula (like other risk scores).**

**But, to calculate exactly the HCT-CI score for that patient and to allow a correct comparison between the patients/the studies, it is essential that all the users adopt the same and the exact definitions of each comorbidity, as originally reported by Sorrow.**

**To this end**



**blood**

**2013;121: 2854-2863**



**How I assess comorbidities prior to hematopoietic cell transplantation**

Mohamed L. Sorrow



**Visit the site: <http://www.hctci.org>**

## Some examples of definitions

### **Arrhythmia** (score 1)

A score of 1 is assigned for any type of arrhythmia that has necessitated ..... a specific antiarrhythmia treatment at any time in the patient's past medical history. .... A score is assigned even if the patient was in normal sinus rhythm at the time of data acquisition or at the landmark date. No score is assigned to transient arrhythmias that never required treatment.

### **Cerebrovascular disease** (score 1)

A score of 1 is assigned for cerebrovascular disease on the basis of a prior diagnosis of transient ischemic attack, subarachnoid hemorrhage, or cerebral thrombosis, embolism, or hemorrhage at any time in the past medical history. No details on treatment are required for assigning a score for this comorbidity.

### **Inflammatory bowel disease** (score 1)

A score of 1 is assigned for .....a documented prior diagnosis (history of an endoscopic examination of the mucosa with or without confirmatory histology and radiologic findings) of Crohn's disease or ulcerative colitis requiring treatment at any time in the patient's past medical history. If the patient has never received a treatment of this comorbidity, no score is assigned.

### **Infection** (score 1)

A score of 1 is assigned in the presence of 1 or more of the following:

- a documented infection
- fever of unknown origin
- pulmonary nodules suspicious for fungal pneumonia
- a positive test for tuberculosis requiring prophylaxis.

Patient must have started a specific antimicrobial treatment before the landmark date with a recommendation to continue the therapy during the days of the conditioning regimen and beyond day 0 of HCT.

### **Pulmonary comorbidity** (2 levels of severity)

		Score 2 (moderate)	Score 3 (severe)
Pulmonary function tests	FEV1 %	66-80	$\leq 65$
	DLCO %	66-80	$\leq 65$
shortness of breath		on slight activity	at rest
the need for oxygen therapy			yes

**Note:** the measured DLCO value should be corrected for the concurrent hemoglobin value using the Dinakara equation.

## Some questions from you

Condition	HCT-CI comorbidity ?	Answer
Pulmonary bleeding	Pulmonary	No/Yes (1)
Acute respiratory failure/intubation	Pulmonary	No/Yes (1)
Candidemia	Infection	No/Yes (2)
Clostridium difficile colitis	Infection	No/Yes (2)
Clostridium difficile colitis	Inflammatory bowel disease	No
Hydrocephalus/ Nystagmus Facial nerve palsy/Ataxia	Cerebrovascular disease	No

**(1) Yes only if there are FEV1/DLCO reduction or shortness of breath or need for oxygen supplementation as assessed during a clinic visit within the immediate period of 2 - 4 weeks before the landmark date.**

**(2) Yes if it requires therapy (not the standard prophylaxis that almost all patients do) to continue before, during and after the conditioning regimen.**

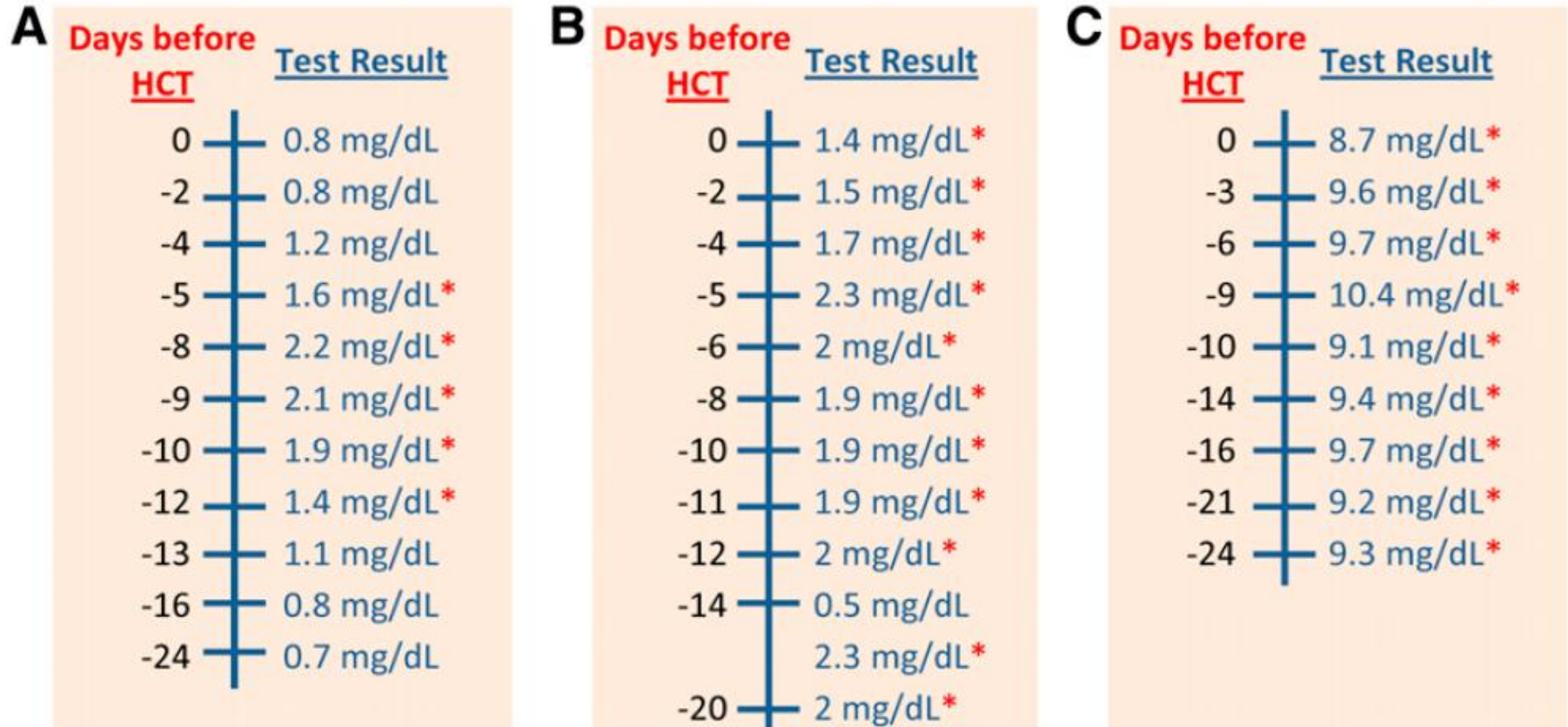
***Renal comorbidity*** (score 2)

It is assigned in the presence of 1 or more of the following 3 clinical presentations:

1. elevated values of serum creatinine to more than 2 mg/dL (or more than 176.8 mmol/L) as detected in at least 2 laboratory tests on 2 different days within a period extending between days – 24 and – 10 before HCT (this evaluation period could be extended to span between days – 40 and – 10 if serum creatinine was evaluated only once between days – 24 and – 10 before HCT)
2. chronic renal disease requiring weekly dialysis within the instantaneous period of 4 weeks before the landmark date
3. a documented prior history of renal transplantation at any point in the patient's past medical history.

## Renal comorbidity - Creatinine

(here the only values considered are those between day – 24 and day – 10)



should this comorbidity be scored?



**no**

(no value is more than  
2 mg in the time period)



**no**

(only 1 value is more than  
2 mg in the time period)



**yes**

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Index Editor Overview DynFil:23:Lymphoma

Assessment(1)	value	label
CIC	330	330
Patient	5255	5255
Assessment date	2015/10/15 00:00	2015/10/15 {exact}
<b>Physical examination</b>		
Kidney		
Renal comorbidity (moderate to severe)		
Spleen and liver		
Hepatic comorbidity		
<b>Heart and lung</b>		
Arrhythmia / conduction blocks		
Cardiac comorbidity		
Cerebrovascular disease: Stroke/CNS haemorrhage		
Heart valve disease		
Pulmonary comorbidity		
<b>Gastrointestinal and metabolism</b>		
Obesity		
Peptic ulcer requiring treatment		
<b>Neuropathy</b>		
Psychiatric disturbance		
Other		
Other clinical abnormalities		

Renal comorbidity (moderate to severe)

1	No
2	Yes
3	Not evaluated
99	unknown

Actions

Form about to be...	Med-A: Day 100
Are you adding i...	null
UPN	27334
Date of birth of...	1956/12/12
Are you adding M...	null

Create Delete Move/ Copy Save pending modifications Show Cancel

Record Locator

Patient [330] 5255

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Chapters & Sections

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- Diagnostics
- Diagnostics (cont.)
- Physical examination
  - Kidney
  - Spleen and liver
  - Heart and lung
  - Gastrointestinal and metabolism
  - Neuropathy
  - Joints
  - Other
- History of disease and treatment
- Patient viral & fungal history
- Performance
- Haematopoietic recovery & chimaerism

At the bottom of the table you will find the field "other".

Fine Internet | Modalità protetta: disattivata 100% 12:51 02/02/2016



## **Prospective Validation of the Predictive Power of the Hematopoietic Cell Transplantation Comorbidity Index: A CIBMTR® Study**

Mohamed Sorrow, Brent Logan, Xiaochun Zhu, J. Douglas Rizzo, Kenneth Cooke, Philip McCarthy, Vincent Ho, Mary Horowitz, Marcelo Pasquini,

**In a recent paper Sorrow and Colleagues have analyzed these “other conditions” and found that the presence of other comorbidities did not induce any significant change in the performance of the original score.**

**Overall, 11% of the samples within each of the 3 risk groups were reported as having other comorbidities that did not acquire a score per the HCT-CI.**

**Patients with an HCT-CI score of 0 but with any “other comorbidity” reported in the free text field were analyzed as a separate risk group and it was found that this group had no different outcomes compared with patients with score 0 alone.**

# **The Hematopoietic Cell Transplantation-specific Comorbidity Index (HCT-CI)**

## **Some uses**

- **The HCT-CI score is often one of the inclusion/exclusion criteria for the eligibility of a patient to enter in a clinical study.**
- **It is often requested by the referee of a submitted paper.**
- **The Center for International Blood and Marrow Transplantation Research (CIBMTR) has incorporated comorbidities and other variables into Centre-Outcome Analyses designed to compare outcomes across transplant centres and to provide this information to patients, insurance companies and academic investigators.**
- **The HCT-CI has been incorporated in some decision processes to evaluate the risk/benefit ratio and the eligibility of a patient for the transplant.**

Risk assessment before  
allogeneic hematopoietic cell  
transplantation for older  
adults with acute myeloid  
leukemia

Mohamed L Sorrow  
and Frederick R  
Appelbaum\*

Box 1. Risk-benefit ratio system for eligibility, ineligibility and risk-categorization of allogeneic hematopoietic cell transplantation as a treatment option for older patients with acute myeloid leukemia.

Category 1: Too good for early HCT

First CR; plus either:  
inv(16), t(16;16) or t(8;21) together with c-kit<sup>-</sup>  
Normal cytogenetics together with NPM<sup>+</sup> Flt3<sup>-</sup> or CEBPA gene mutation

Category 2: Good-risk transplant candidates

Age <76 years  
HCT-CI scores of 0  
KPS scores of 90–100%  
Favorable socioeconomic factors: high income and education levels and good social support  
First CR; plus  
Normal cytogenetics together with NPM<sup>-</sup> Flt3<sup>+</sup> or without CEBPA gene mutation  
Second CR; plus  
inv(16), t(16;16) or t(8;21)  
Normal cytogenetics together with NPM<sup>+</sup> Flt3<sup>-</sup> or CEBPA gene mutation  
Reduced-intensity conditioning regimens  
HLA-identical sibling donor

Category 3: Intermediate-risk transplant candidates

Age <76 years  
HCT-CI scores of 1–2  
KPS scores of 80–85%  
Intermediate-risk socioeconomic factors: moderate income, education levels and social support  
First CR; plus  
Adverse cytogenetics  
Second or higher CR; plus  
Normal cytogenetics together with NPM<sup>-</sup> Flt3<sup>+</sup> or without CEBPA gene mutation  
Non-myeloablative or reduced-intensity conditioning regimens  
HLA-8/8 allele matched or single antigen or allele mismatched unrelated donor

Category 4: High-risk transplant candidates

Age <76 years  
HCT-CI scores of 3–7  
KPS scores of 60–70%  
Poor-risk socioeconomic factors: low income, education levels and social support  
Not in CR  
Unfavorable or complex cytogenetics  
Monosomal karyotype  
Short duration between first CR and relapse  
Reduced-intensity conditioning regimens  
No available HLA-matched related or unrelated donors: UCB, single antigen HLA-mismatched, or HLA-haploidentical donor is available

Category 5: Non-transplant candidates<sup>†</sup>

Age >75 years  
HCT-CI scores of ≥8 and/or major organ dysfunction (decompensated liver cirrhosis, decompensated heart failure with low survival probabilities, active severe infection, or pulmonary function tests <40% normal), or another malignancy or major illness with limited survival chances  
KPS scores of 50%  
Extremely poor-risk socioeconomic factors: no income or very limited education, no social support  
Behavioral issues: lack of compliance in past medical history, persistent or frequent use of drugs after diagnosis with cancer, and anger or high-risk behaviors with medical teams  
Rapidly progressive or refractory disease after induction failure  
Complex cytogenetics plus monosomal karyotype  
Lack of a suitable donor

# The European LeukemiaNet AML Working Party consensus statement on allogeneic HSCT for patients with AML in remission: an integrated-risk adapted approach

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**Table 4** | Recommendations for allogeneic HSCT in patients with AML in their first complete remission based on integrated-risk profiles\*

AML risk group <sup>‡</sup>	AML risk assessment <sup>§</sup>	Risk of relapse following consolidation approach		Prognostic scores for nonrelapse mortality that would indicate allogeneic HSCT as preferred consolidation		
		Chemotherapy or autologous HSCT (%)	Allogeneic HSCT (%)	EBMT score	HCT-CI score	Nonrelapse mortality risk (%)
Good	t(8;21) with WBC ≤20 Inv(16)/t(16;16) Mutated <i>CEBPA</i> (double allelic) Mutated <i>NPM1</i> (No <i>FLT3</i> -ITD mutation) Early first complete remission and no MRD	35–40	15–20	NA (≤1)	NA (<1)	10–15
Intermediate	T(8;21) with WBC >20 Cytogenetically normal (or with loss of X and Y chromosomes), WBC count ≤100 and early first complete remission (after first cycle of chemotherapy)	50–55	20–25	≤2	≤2	<20–25
Poor	Otherwise good or intermediate, but no complete remission after first cycle of chemotherapy Cytogenetically normal and WBC >100 Cytogenetically abnormal	70–80	30–40	≤3–4	≤3–4	<30
Very poor	Monosomal karyotype Abn3q26 Enhanced <i>Evi-1</i> expression	>90	40–50	≤5	≤5	<40

## **Conclusions (I)**

### **The comorbidity index HCT-CI:**

- **has confirmed its validity in predicting NRM and OS among recipients of allogeneic (and autologous) HSCT as an independent variable across ages, conditioning regimen intensity, and diagnosis. Increasing HCT-CI scores are associated with increased risks for NRM and reduced survival.**
- **at the moment it is the best tool we have to assess the “frailty” of a patient. The “composite score” has certainly a better predictive capacity than the chronological age alone.**
- **allows to group the patient’s comorbidities and evaluate them for the benefit/risk assessment together with other characteristics of the transplant procedure.**  
**The NRM risk calculated by the HCT-CI needs however to be always weighed with the relapse risk of the disease.**

## Conclusions (II)

### The comorbidity index HCT-CI:

- permits to discuss with the patient in a more personalized manner during the counseling process.
- permits to compare clinical trials at different institutions. It is necessary therefore that the original definitions of the comorbidities are respected.

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The future probably will offer wider possibilities to personalize the transplant procedure, and a tool like the HCT-CI, of course updated and supplemented with other new parameters, could help us to better evaluate the patient and to choose the best therapeutic strategy for them.



**Thank you for your attention**