

# Immunosuppressive treatment (IST) annual follow-up

Guide to the completion of the EBMT data collection form: IST\_FU\_annual\_v2.2

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# EBMT Registry

EBMT Clinical Research & Registry Department



# **Table of Contents**

Table of Contents	2
Introduction	5
Immunosuppressive treatment (IST) Annual/Unscheduled Follow-Up	5
Date of follow-up	5
Survival status	5
Date of the last IST for this patient	5
Main cause of death	5
Select treatment related cause	6
Infectious complication	6
Was an autopsy performed?	6
Best response	6
Best response after this IST	6
Date best response first observed	8
Transfusions	9
Red blood cells (RBC) transfusions given since last follow-up	9
Number of RBC transfusions given	9
RBC irradiated	9
Platelet transfusions given since last follow-up	9
Number of platelets transfusions given	9
Platelets irradiated	9
Extended dataset	10
Haematological tests	10
Date tests performed	10
Haemoglobin (g/dL)	10
Was haemoglobin transfused within 4 weeks before assessment?	10
Platelets (109 cells/L)	10
Were platelets transfused within 7 days before assessment?	10
Neutrophils (109 cells/L)	10
Reticulocytes (109 cells/L)	10
Ferritin (ng/ml)	11
First relapse after IST	11
First relapse/progression of Aplastic Anaemia (detected by any method)	11
Date of first relapse/progression	11
Disease status at this Follow-Up.	11
Disease status this follow-up	11
Complications since last Follow-Up.	12
Adverse events/non-infectious complications grade 3-5 observed (based on CTCAE g	rades) 12
Observed	12
Observed, yes	12



Maximum CTCAE grade	12
Onset date	12
Resolved	13
Stop date	13
Secondary malignancies and Autoimmune disorders	13
Did a secondary malignancy or autoimmune disorder occur?	13
Was this disease an indication for a subsequent HCT/CT/IST?	13
Bone marrow investigations.	13
Bone Marrow Investigation	13
Date of bone marrow investigation	13
Type of bone marrow investigation:	13
Type of dysplasia	14
Bone marrow assessments	14
Cellularity in the bone marrow aspirate	14
Cellularity in the bone marrow trephine	15
Fibrosis on bone marrow biopsy	15
CD34+ cell count percentage (%)	
Blast count percentage (%)	15
Chromosome analysis	15
Chromosome analysis done at follow-up	15
Output of analysis	16
What were the results?	16
Date of chromosome analysis	16
Chromosome analysis details	16
Transcribe the complete karyotype	16
Molecular marker analysis	16
Molecular marker analysis done at follow-up	16
Date of molecular marker analysis	17
Molecular marker analysis details	17
TP53 mutation type	17
PNH Tests since last Follow-Up.	17
PNH test done	17
Date of PNH test	17
PNH diagnostics by flow cytometry	18
Size of PNH clone in percentage (%)	18
Flow cytometry assessment done on	18
Clinical manifestation of PNH	18
Date of clinical manifestation of PNH	18
Anti-complement treatment given?	18
Drug given	19
New or ongoing	19
Start date	10





Treatment stopped	1
Stop date	1



#### Introduction

Please make sure you have already checked the **Introduction to the EBMT Registry Completion Guidelines** document latest version available under *Manuals and Reference Documents* section on <u>EBMT</u>

website.

# Immunosuppressive treatment (IST) Annual/Unscheduled Follow-Up

The IST annual/unscheduled follow-up form must be submitted to the EBMT Registry database annually after an IST episode or at time of patient death, whichever occurs first. If an additional line of IST is given the a new IST D0 form should be filled in. IST follow-up forms should then be completed for the latest IST given.

If there are fluctuations in the disease status during the follow-up period and the centres deem it relevant, or if the patient is discharged from the centre and/or moves to another centre, an additional report may be provided between the standard reporting schedule.

#### Date of follow-up

Report the follow-up date which is the closest to the annual follow-up assessment post-IST. If the patient died, enter the date of death. If the patient was lost to follow-up, enter the date the patient was last seen.

#### Survival status

Indicate if the patient is last known to be **Alive** or **Dead**. If the patient is lost to follow-up, tick the box for **Lost to follow-up**.

- Insert causes of death from the below guidelines
- Insert autopsy performed from the below guidelines

#### Date of the last IST for this patient

Please provide the start date of the immunosuppressive treatment episode for which this follow-up is being reported.

#### Main cause of death

Check only one main cause that applies. In case of doubt, consult a physician. If none of the answers in the table match, tick the box **Other** and specify it. If the cause of death is not known, select **Unknown**.

The following main causes of death can be reported (check only one):

Relapse or progression/persistent disease;



- Secondary malignancy
- **IST-related** death caused by complications or infections after an immunosuppressive episode (also indicate the treatment related cause);
- HCT-related death caused by complications or infections after transplant (also indicate
  the treatment related cause).

#### Select treatment related cause

In case of IST- or HCT-related cause of death, specify if the cause of death was related to (select all that apply):

• Graft versus Host Disease;

If the main cause of death was IST-related, graft versus host disease can not be selected.

- Non-infectious complication;
- Infectious complication.

Infectious complication

If the cause of death was related to an infectious complication, select all types of infection that apply:

- Bacterial infection;
- Viral infection;
- Fungal infection;
- Parasitic infection;
- Infection with unknown pathogen.

#### Was an autopsy performed?

Check **No**, if no autopsy has been not performed. Check **Yes** if an autopsy was performed. Check the box **Unknown** if it is not known whether or not an autopsy was performed.

# Best response

The best response only has to be completed for the first annual follow-up following this IST.

#### Best response after this IST

Report the best response achieved since the immunosuppressive treatment, but before any subsequent treatment, even if the patient got worse again afterwards. This includes the response observed before or without any subsequent treatment. The definitions of the best responses for severe and moderate aplastic anaemia can be found in table 1. For congenital bone marrow failures, no formal response criteria are available.



Response	Definition		
	Severe aplastic anaemia	Moderate aplastic anaemia	
Stable disease (no change/no response/lo ss of response)	Not meeting any of the response criteria defined below	Not meeting criteria of partial or complete response	
Complete Remission (CR)	All of the following:  No evidence of clonal evolution, by marrow cytogenetic and flow cytometry  Peripheral blood counts: haemoglobin >10 gr/dL, absolute neutrophils >1.0 x 109/L, platelets >100 x 109/L	All of the following:  • Haemoglobin normal for age • Neutrophils >= 1.5 x 10 <sup>9</sup> /L • Platelets >= 150 x 10 <sup>9</sup> /L	
Partial Remission (PR):	<ul> <li>All of the following:         <ul> <li>No longer meeting criteria for diagnosis of SAA</li> <li>Transfusion independence (defined as no need of any PRBC or platelet transfusion)</li> <li>Peripheral blood counts: haemoglobin &gt;8 gr/dL, absolute neutrophils &gt;0.5 x 10<sup>9</sup>/L, platelets &gt;20 x 10<sup>9</sup>/L</li> </ul> </li> </ul>	At least one of the following:  Transfusion independence (if previously required) doubling or normalisation of at least one cell line  Increase above baseline by: 3 g/dl haemoglobin and 0.5 x 10 <sup>9</sup> /L neutrophils and 20 x 10 <sup>9</sup> /L platelets	
Haematol ogical improvem ent (HI); NIH partial response:	No longer meeting criteria for diagnosis of SAA	No longer meeting criteria for diagnosis of MAA	



# Relapse / Progressio n:

Any of the following events after a haematological response (CR or PR):

- Meeting again the criteria for SAA
- Requirement of transfusion support (if not due to independent medical conditions)
- Decrease in any of the peripheral blood counts as follows:

Decrease to less than 50% of the medium sustained count during remission if: absolute neutrophils <1.0 x  $10^9$ /L, platelets <50 x  $10^9$ /L; or

Or in any case if: absolute neutrophils  $<0.5 \times 10^9/L$ , platelets  $<20 \times 10^9/L$ 

The peripheral blood count decrease must be:

- Not due to any independent concomitant medical condition
- Demonstrated in at least 3 tests over a period of 2 weeks
- Not responding to re-introduction of low dose cyclosporin A

After a haematological response (CR or PR), once again meeting the criteria for MAA

Table 1. Definitions of best responses.

# Date best response first observed

Report the date the best response was first observed. The response date is the date that the sample or image was taken for assessing the response. If the patient is in CR, enter the date CR was achieved or assessed. If the date is unknown, select the **Unknown** checkbox.



### **Transfusions**

#### Red blood cells (RBC) transfusions given since last follow-up

Indicate if any RBC transfusions were given since the last follow-up by selecting **No** or **Yes**. Answer **Unknown** if it is not known whether or not RBC transfusions were given since the last follow-up.

#### Number of RBC transfusions given

Count and indicate the total number of red blood cells (RBC) units transfused since the last follow-up by selecting the appropriate checkbox:

- < 20 units;</p>
- 20 50 units;
- > 50 units.

Answer **Unknown** if there is no information on RBC transfusions in the patient's medical records.

#### **RBC** irradiated

Indicate if the RBC were irradiated (answer **Yes**), not irradiated (answer **No**) or if it is unknown (answer **Unknown**).

#### Platelet transfusions given since last follow-up

Indicate if any platelets transfusions were given since last follow-up by selecting **No** or **Yes**. Answer **Unknown** if it is not known whether or not platelets transfusions were given since the last follow-up.

# Number of platelets transfusions given

Count and indicate the total number of platelets transfused since the last follow-up by selecting the appropriate checkbox:

- < 20 units;</li>
- 20 50 units;
- > 50 units.

Answer **Unknown** if there is no information on platelet transfusions in the patient's medical records.

#### Platelets irradiated

Indicate if platelets were irradiated (answer **Yes**), not irradiated (answer **No**) or if it is unknown (answer **Unknown**).



#### Extended dataset

# Haematological tests

# Date tests performed

Report the date when the haematological tests were performed.

### Haemoglobin (g/dL)

Report the haemoglobin level in g/dL. Check the box **Not evaluated** if the haemoglobin level was not assessed. If this result is unavailable, check the box **Unknown**.

# Was haemoglobin transfused within 4 weeks before assessment?

No or Yes. Answer Unknown if it is not known whether or not RBC transfusions were given during this follow-up.

# Platelets (109 cells/L)

Indicate the number of platelets  $\times 10^9/L$  or make a corresponding mark if it was **Not evaluated**. If this result is unavailable, check the box **Unknown**.

#### Were platelets transfused within 7 days before assessment?

ndicate if any platelets transfusions were given within 7 days before the annual assessment by selecting

No or Yes. Answer Unknown if it is not known whether or not platelets transfusions were given during
this follow-up.

# Neutrophils (109 cells/L)

Indicate the number of neutrophils  $\times$  10 $^9$ /L or make a corresponding mark if it was **Not evaluated**. If this result is unavailable, check the box **Unknown**.

# Reticulocytes (109 cells/L)

Indicate the number of reticulocytes x 10<sup>9</sup>/L or make a corresponding mark if it was **Not evaluated**. If this result is unavailable, check the box **Unknown**.



# Ferritin (ng/ml)

Report the ferritin level in ng/mL. Check the box **Not evaluated** if the ferritin level was not assessed. If this result is unavailable, check the box **Unknown**.

# First relapse after IST

Report the first relapse or progression after the last episode of IST; since multiple IST episodes may be given in an individual patient, relapses after each episode must be reported. If the first relapse/progression was reported at previous follow-up, leave this section without answer and proceed to the last disease status section.

#### First relapse/progression of Aplastic Anaemia (detected by any method)

First relapse means the first relapse that occurs after the first CR or PR has been achieved. If the patient has never had a CR or a PR, the status of the disease cannot be relapsed, but it can be progression and thus it is also covered in this section. If a first relapse or progression occurred, select **Yes**. If this did not occur, select **No**. If the first relapse to this IST has already been reported on a previous follow-up form, then it does not need to be reported again.

# Date of first relapse/progression

Report the date of the first relapse/progression since the IST. If this information is unavailable, select **Unknown**.

# Disease status at this Follow-Up

#### Disease status this follow-up

Select the disease status that reflects the status at the time of this assessment that is being reported. If disease status was not assessed at this follow-up enter **Not evaluated.** The disease status can be reported according to the same criteria outlined in the <u>Best response</u> section of this guideline.



# Complications since last Follow-Up

# Adverse events/non-infectious complications grade 3-5 observed (based on CTCAE grades)

Check the latest version of Common Terminology Criteria for Adverse Events (CTCAE) available at NCI webpage. Answer Yes if there were any adverse events and non-infectious complications grade 3-5 or mild-very severe observed since the last report.

Answer **No** (grade 0-2) if there were no adverse events/non-infectious complications grade 3-5 or mild-very severe observed.

#### Observed

If **Yes** was answered to the previous question, indicate per each adverse event/non-infectious complication in the table below if it was observed (answer **Yes**) or not (answer **No**). If there was any adverse event/non-infectious complication observed that is not mentioned in the table, check the box **Other** and specify the event in the text field in English. Answer **Unknown** if it is not known whether or not the specified complication occurred.

#### Observed, yes

If **Yes** was answered to the previous question, indicate whether the adverse event/non-infectious complication developed during this assessment (select **Newly developed**) or if the adverse event/non-infectious complication was **ongoing since the previous assessment**.

#### Maximum CTCAE grade

For each adverse event that was observed, select the maximum CTCAE grade that was observed. For veno-occlusive disease (VOD) please indicate if it was mild, moderate, severe, very severe or fatal. Please select **Unknown** if the grade/severity of the complication is not known.

#### **Onset date**

Report the onset date of the event when the adverse event was first observed during this follow-up period (only <u>newly developed</u> events). Select **Unknown** if the date in unavailable.

This also applies if the complication was resolved early in this follow-up period.



#### Resolved

Please indicate whether or not the complication has been resolved. Answer **No** if the complication has not yet been resolved. Answer **Yes,** if the complication has been resolved. Answer **Unknown** if it is not known whether or not the complication was resolved.

#### Stop date

If **Yes**, please also provide the date that the complication was resolved. Select **Unknown** if the stop date is unavailable.

# Secondary malignancies and Autoimmune disorders

# Did a secondary malignancy or autoimmune disorder occur?

Answer **No** if neither secondary malignancy nor autoimmune disorder has been observed after this IST episode and if unknown, answer Unknown. Answer **Yes** if secondary malignancy or autoimmune disorder occurred and specify:

# Was this disease an indication for a subsequent HCT/CT/IST?

If the answer is No, complete the respective non-indication diagnosis form.

If the answer is **Yes**, complete the relevant <u>indication</u> diagnosis form.

# Bone marrow investigations

In this section, specify the results of the bone marrow examinations.

#### **Bone Marrow Investigation**

If any bone marrow investigation was performed, answer **Yes** and provide details in subsequent questions of this section. If not, select **No** and proceed to the next section.

# Date of bone marrow investigation

Report the date the bone marrow investigation was done.

#### Type of bone marrow investigation:

Specify the type of bone marrow investigation performed, whether it was:

- Cytology; Identification and counting of cells in the bone marrow sample
- Histology;



• Both - Both Cytology and Histology were performed

# Type of dysplasia

Dysplasia is the presence of cells that are abnormal in size, shape, organisation, and/or number. Indicate for each of the following bone marrow cell lines if dysplasia was present **Yes** or absent **No**:

- Erythroid red blood cells and their precursors
- Granulocyte granular white blood cells (leukocytes): neutrophils, eosinophils, and basophils
- Megakaryocyte precursor to platelets that reside in the bone marrow

If the dysplasia for that cell line has not been evaluated in the bone marrow, select **Not evaluated**, and if unknown, answer **Unknown**.

#### Bone marrow assessments

#### Cellularity in the bone marrow aspirate

Report the result of the cellularity assessment performed by aspiration test by indicating if the bone marrow was Acellular, Hypocellular, Normocellular, Hypercellular or it had Focal cellularity.

Usually, the examination of the BM aspirate is done by a haematologist. The results can be found in the haematology lab report.

Cellularity	Description
Acellular	Absence of bone marrow cells ("dry tap")
Hypocellular	Bone marrow has fewer cells than normal or expected
Normocellular	Bone marrow has normal cellularity
Hypercellular	Bone marrow has more cells than normal or expected
Focal cellularity	Bone marrow has fewer cells than normal or expected but with the local normal cellularity

Table 2. Definitions of bone marrow cellularity.

If the cellularity in the bone marrow aspirate was not evaluated, report **Not evaluated**. Select **Unknown** in case it is not known if the cellularity was assessed or not.



#### Cellularity in the bone marrow trephine

Report the result of the cellularity assessment performed by trephine biopsy by indicating if the bone marrow was Acellular, Hypocellular, Normocellular, Hypercellular or it had Focal cellularity (see the table above).

The results of the bone marrow trephine biopsy can be found in the pathology report.

If the cellularity in the bone marrow trephine was not evaluated, report **Not evaluated**. Select **Unknown** in case it is not known if the cellularity was assessed or not.

### Fibrosis on bone marrow biopsy

Indicate if the bone marrow biopsy revealed any signs of fibrosis. Select **No**, if the biopsy did not show features of fibrosis. If the biopsy revealed histological features of fibrosis select **Mild**, **Moderate** or **Severe** depending on the fibrosis severity grade.

The results of the bone marrow biopsy can be found in the pathology report.

Select **Not evaluable** if the sample could not be analysed. If fibrosis was not evaluated, report **Not evaluated**. Select **Unknown** in case it is not known if the fibrosis was assessed or not.

#### CD34+ cell count percentage (%)

Indicate the percentage of CD34+ cells in the bone marrow sample. If the cell count was not assessed, report **Not evaluated**. Select **Unknown** in case it is not known if the cell count was measured or not.

#### Blast count percentage (%)

Indicate the percentage of blast cells in the bone marrow sample. If the cell count was not assessed, report **Not evaluated**. Select **Unknown** in case it is not known if the cell count was measured or not. If the precise blast count is not available, please indicate if the percentage blasts in the bone marrow sample was below or above 5%.

# Chromosome analysis

#### Chromosome analysis done at follow-up

In this section describe the results of the most recent complete chromosome analysis (performed during this follow-up period).

No - the chromosome analysis has not been done;

Yes - the chromosome analysis has been performed prior to treatment;



**Unknown** - it is unknown whether the chromosome analysis has been done or not.

# **Output of analysis**

Select Separate abnormalities for focused analysis or Full karyotype for comprehensive assessment.

#### What were the results?

Normal - the results of the analysis were normal.

**Abnormal** - at least one of the results has been found to be abnormal. In addition, indicate the number of abnormalities present in the most recent analysis with abnormal results\* (**number of abnormalities present**).

**Failed - If the chromosome analysis was performed but failed** \*If more than one analysis has been done since the last follow-up, indicate **abnormal results** if at least one analysis has been found to be abnormal. In this case, describe the results of the most recent analysis with abnormal results.

#### Date of chromosome analysis

Indicate the date of the chromosome analysis mentioned above. If the chromosome analysis was not done/failed or it is unknown if it was performed, leave the field blank. If the date of chromosome analysis is unavailable, select **Unknown**.

#### Chromosome analysis details

See the cytogenetics form or ask the cytogenetics team and consult your physician.

If chromosome analysis was performed, indicate for each abnormality in the table whether it was **Absent** or **Present**. If a chromosome abnormality was not evaluated, report **Not evaluated**.

If a chromosome abnormality was checked, but not listed as an option in the table, select **Other** and specify the abnormality in the text field, marking whether it was **Absent** or **Present**.

#### Transcribe the complete karyotype

If it is necessary, transcribe the complete karyotype according to the International System for Human Cytogenetic Nomenclature (ISCN).

# Molecular marker analysis

# Molecular marker analysis done at follow-up

If molecular markers were assessed at this follow-up, select **Yes** and provide details in subsequent questions of this section. If they were not assessed, select **No**. Select **Unknown** if it is unknown whether the analysis of the molecular markers has been done or not.



# Date of molecular marker analysis

If applicable, report the date of the molecular marker analysis. If the date is unavailable, select Unknown.

## Molecular marker analysis details

If molecular marker analysis was performed, indicate for each marker in the table whether it was **Absent** or **Present**. If a molecular marker was not evaluated, report **Not evaluated**.

If a molecular marker was evaluated, but not listed as an option in the table, select **Other** and specify the marker, indicating whether it was **Absent** or **Present**.

#### TP53 mutation type

If **TP53** mutation is present, indicate the mutation type if known. A TP53 mutation is considered a multi hit if it fulfils one of the following criteria:

- 2 or more distinct mutations of TP53 with a VAF of ≥ 10%
- 1 mutation and 1 deletion involving the TP53 locus
- 1 mutation with VAF ≥ 50%
- 1 mutation with complex karyotype

A TP53 mutation is considered single hit if either one of the following criteria is fulfilled:

- a single TP53 mutation with VAF < 50%
- loss of 17p13 involving TP53 locus without TP53 mutations

If the lab report does not specify the type, select **Unknown**.

# PNH Tests since last Follow-Up

#### PNH test done

Report if PNH test was done in the follow up period or not. If it is not known if the PNH test was performed please select **Unknown**.

#### Date of PNH test

If the answer to the previous question is **Yes**, indicate the date of the PNH test. In case the date is not known, report **Unknown**.



#### PNH diagnostics by flow cytometry

Blood cells that have been affected by PNH are known as PNH clone cells. Indicate if the clone was absent or present by selecting the respective check box. If it is not known whether or not the PNH clone is absent or present, report **Unknown**.

#### Size of PNH clone in percentage (%)

If the **Clone present** option is selected, specify also the **Size of the clone** in percentage (%) (PNH clone size refers to the proportion of PNH-affected cells versus normal cells within the total cell population). This information can be found in the haematology report.

#### Flow cytometry assessment done on

Indicate the type of cells used for the PNH test by selecting one of the options from the list: Red blood cells (RBC) or Granulocytes. If both RBC and granulocytes were tested please select **Both.** If flow cytometry was done on other cells, select **Other** and specify the cell type in English.

#### Clinical manifestation of PNH

If there are any clinical manifestations of PNH, select Yes, if not, select No.

Clinical manifestations of PNH include cytopenias, thrombocytopenia, neutropenia or anaemia, thrombotic complications such as the Budd Chiari syndrome (hepatic vein thrombosis) or thromboses in different locations and active haemolysis which may manifest by dark urine, flank pain, elevated LDH. PNH patients may also exhibit cramps of the bowel, oesophagus, or other muscles, as well as erectile dysfunction.

#### Date of clinical manifestation of PNH

If the answer to the previous question is Yes, report the date when the clinical manifestation was first reported. In case the date is not known, report **Unknown**.

# Anti-complement treatment given?

Indicate if any anti-complement treatment was given to the patient by selecting either **No** or **Yes**.

Including compounds that inhibit the complement system, for example: C-5 inhibitors (Eculizumab & Ravulizumab) and C-3 inhibitors (Pegcetacoplan). If the anti-complement treatment that has been given is not listed please select: **Other; specify**.

If anti-complement treatment was given, please provide details.



#### Drug given

Select the drug name from the list of options or use **Other** field and report the generic drug/agent name(s) in the textbox in English.

Please consult the List of Chemotherapy Drugs, Agents and Regimens on the EBMT website for drug/agent names. This document provides alternative names for many of the drugs/agents. Once you have found the drug/agent of interest on the list, add its database name to the table.

#### New or ongoing

Select **New drug administration** if the anti-complement treatment was started during this follow-up period. If the treatment has been reported in a previous follow-up form and was not stopped at that follow-up, select **Ongoing since previous assessment.** 

#### Start date

Report the date when the treatment was started during this follow-up period. Select **Unknown** if the start date is not known.

This also applies if the treatment was stopped early in this follow-up period.

# Treatment stopped

Indicate if anti-complement treatment stopped. In case this information is unavailable, report **Unknown**.

#### Stop date

If the answer to the previous question is **Yes**, indicate the date when anti-complement treatment stopped. In case the date is not known, report **Unknown**.

If there were more drugs given during one line of treatment use copies of the page for the paper form. It is also possible to add additional fields in the online EBMT Registry application to report multiple drugs here.